

GUIDANCE: IS MY FINANCIAL INTEREST RELATED TO MY INSTITUTIONAL RESPONSIBILITIES?

Per Seattle Children's Financial Conflicts of Interest Policy (ORC-003), a Significant Financial Interest (SFI) is a financial interest that,

- reasonably appears to be *related to an investigator's institutional responsibilities* and
- meets one or more SFI criteria (as defined in policy ORC-003), after accounting for any specified exclusions

The ORC interprets “reasonably appears to be related to an investigator's institutional responsibilities” as meaning it would be logical or it would make sense that a reasonable person could view the interest, the activity generating the interest, or the entity providing the interest as associated with or connected to your institutional responsibilities.

A financial interest could be related to your institutional responsibilities if it is in an entity or provided by an entity whose activities include any of the following:

- Entity provides gifts, grants, awards, or other funding to Seattle Children's for support of your Seattle Children's research
- Entity is a sponsor, subcontractor, sub awardee, or supplier or lessor of goods, materials, proprietary information, services, or facilities for your Seattle Children's research activities
- Entity makes use of your Seattle Children's research or scholarly work either directly or indirectly
- Entity is a member of an industry, trade or advocacy group that directly funds your research or funds a sponsor of your Seattle Children's research
- Entity manufactures, commercializes, or is developing a product that is being used, evaluated, or further developed by your Seattle Children's duties
- Entity will receive materials, data, or other information from your Seattle Children's research and/or other Seattle Children's duties
- Entity is a competitor of a sponsor of your Seattle Children's research
- Entity refers potential human participants for your Seattle Children's research
- Entity has some other relationship not described above that could be related to or could be affected by your Seattle Children's research or duties